

# Designing Controller Using Stable Krylov Subspace Method

Kumar Nikhil<sup>1</sup>, Prof. (Dr.) Vijaya Laxmi<sup>2</sup>, Prof. (Dr.) Dinesh Chandra<sup>3</sup>

<sup>1</sup>Assistant Professor, Birla Institute of Technology, Mesra, Ranchi, Patna Campus, Patna

<sup>2</sup>Professor, Birla Institute of Technology, Mesra, Ranchi

<sup>3</sup>Professor, Motilal Nehru National Institute of Technology, Allahabad (Prayagraj)

Email- <sup>1</sup>k.nikhil@bitmesra.ac.in, <sup>2</sup>vlaxmi@bitmesra.ac.in, <sup>3</sup>dinuchandra@rediffmail.com

## ABSTRACT

In this work, a method for reduction of higher order system (HOS) into a low order model has been developed through modified Krylov subspace (MK-S) method, which is a combination of Routh-Padé approximation (RPA) method and Krylov subspace method. A low order controller has been then developed for this reduced order model (ROM). The controller is then implemented to the HOS and the performance of the HOS is evaluated. The comparison between responses of HOS and ROM has been done for some example problems and presented in the results. The results validate the efficacy of the designed low order controller for the higher order systems using proposed method.

## Keywords

Controller, Model Reduction, Krylov subspace, Routh-Padé Approximation method.

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## Introduction

In the recent past, approximation of a higher order linear system by a low order model has received a substantial attention. A wide range of model order reduction techniques [1,2] have been proposed either in frequency domain or time domain in the recent past to reduce the order of a higher order system. Some of the frequency domain techniques are Padé approximation [3], Routh approximation [4-9], Routh-Padé approximation [10-11] and in time domain the techniques are like singular value decomposition (SVD) [12-14], balancing and truncation [12], Krylov subspace (K-S) [15-22, 32] methods. In the K-S method, Taylor series expansion is used to match some of the lower order coefficients of the original system transfer function and reduced order system transfer function. In this method, the matching of coefficients are done based on time moment matching and Markov moments matching [23]. When the matching is around  $s=0$ , time moments matching is achieved and around  $s=\infty$ , Markov parameters matching is achieved. Krylov subspace is used to calculate projection matrices. After obtaining the projection matrices, these are used in the system matrices of the higher order system resulting in reduced order models. In this approach, the algorithms proposed by Lanczos [24], the Arnoldi [25-26] and two-sided Arnoldi [27-28] are used, which are numerically efficient methods. K-S method is also referred as method by projection in which projection is done from high dimensional space to the lower dimensional space and vice-versa using the concept of input and output subspaces. These days K-S method has become the best choice for reduction of order for very high order systems. However, the K-S method has a drawback that stability of the reduced order model is uncertain [29-33].

There are certain advantages of linear simple controllers over complex linear controllers such as less computational requirements. Hence, linear simple controllers are preferred. A brief review of different approaches for reduction of order of controller has been presented by Anderson and Liu in

[34]. These approaches are divided into direct and indirect methods [35]. In direct methods, a low order controller is obtained directly [36-39] in which, usually a quadratic optimization problem is solved with an order constraint and a constraint of close loop stability. The indirect methods are of two types [40]. In the first type, a high order controller are derived from the original high order plants, by using some linear quadratic Gaussian (LQG) or  $H^\infty$  design method, and then an approximation of the controller is determined. In the second type, a low order plant transfer function is computed from the original plant transfer function, and then a low order controller is designed to control the original plant.

In [34], a review of indirect strategies of first type have been presented and discussed using balanced realization [41-43], Hankel norm optimal approximation [34, 44-47], and q-covariance equivalent realization [48-50]. These techniques usually replace a stable high order model by other stable low order model which is not an optimal  $L_\infty$  approximation. Further, in these techniques, no frequency weighting is generally employed [34]. However, in literature some frequency weighted versions are available for the first two methods in [51-53].

In this research work, first a higher order system (HOS) is approximated by using K-S method to reduce into a low order model (ROM). An indirect method of second type for reduction of order of controller is then used to design a low order controller for this ROM. The low order controller is then connected to the HOS and the performance of the HOS is evaluated and compared.

With the help of Routh-Padé approximation (RPA) method stability of the ROM is preserved [11,29]. The proposed method is termed as a modified Krylov subspace (MK-S) method. It combines RPA method to guarantee stability. In the first step, K-S method is used to derive the ROM. If the model turns out to be stable, the algorithm is terminated. If not, a next stable higher order model  $G_k(s)(r+1orr+2orr+3...)$  is identified. Then RPA

method is used to obtain a stable ROM as the second step. Then, a suitable controller is designed for the original as well as reduced order system. Thus, the proposed method completely or closely matches  $2r$  terms (time moments/Markov parameters) of the original HOS and ROM and also preserves stability.

This paper has been organized as follows: For completion of presentation and clarity, the K-S method [18-22] and Routh-Padé approximation methods [11,29] have been reproduced in the Section 2. In Section 3, basic concepts of controller design have been presented. The proposed MK-S method has been explained in the Section 4. Section 5 deals with different numerical examples and their results. The last section comprises of the conclusion of proposed algorithm.

### Krylov Subspace Method

In this section, the K-S method has been described. Consider a general single input single output (SISO) system [18,22], whose state model is given by

$$\begin{aligned} E \dot{x} &= Ax + bu & (1) \\ y &= c^T x & (2) \end{aligned}$$

The input variable is  $u$  and output variable is  $y$ . The number of state variables  $n$  is the order of the system, where  $E$ ,  $A$ ,  $b$  and  $c$  represent the descriptor matrix, state matrix, input matrix and output matrix, respectively.

The transfer function  $G_n(s)$  of the system in (1), (2) is described as

$$\begin{aligned} G_n(s) &= c^T (sE - A)^{-1} b & (3) \\ &= -c^T A^{-1} b - c^T (A^{-1} E) A^{-1} b s - c^T (A^{-1} E)^i A^{-1} b s^i & (4) \\ &= c^T E^{-1} b s^{-1} + c^T (E^{-1} A) E^{-1} b s^{-2} + \dots + c^T (E^{-1} A)^i E^{-1} b s^{-i} + \dots & (5) \end{aligned}$$

Equation (4) and (5) are the Taylor series expansions of the transfer function (3) around  $s \rightarrow 0$  and  $s \rightarrow \infty$ , respectively.

It is desired to obtain a Krylov reduced order model of order  $r$  of the form

$$\begin{aligned} E_r \dot{x}_r &= A_r x_r + b_r u & (6) \\ y &= c_r^T x_r & (7) \end{aligned}$$

for which

$$G_r(s) = c_r^T (sE_r - A_r)^{-1} b_r \quad (8)$$

Where,  $E_r = W^T E V$ ,  $A_r = W^T A V$ ,

$$b_r = W^T b, \quad c_r^T = c^T V,$$

and  $E_r, A_r, b_r$  and  $c_r^T$  are obtained by applying projections from  $V$  and  $W$  to system (1) and (2). Matrices  $V$  and  $W$  are projection matrices. Thus, to determine a Krylov reduced order model, the projection matrices  $V$  and  $W$  which are basis of input Krylov subspace  $K_{r1}(A^{-1}E, A^{-1}b)$  and output Krylov subspace  $K_{r2}(A^{-T}E^T, A^{-T}c^T)$  respectively, chosen as

$$V = K_{r1}(A^{-1}E, A^{-1}b) = \text{span}\{A^{-1}b, \dots, (A^{-1}E)^{r-1} A^{-1}b\} \quad (9)$$

$$W = K_{r2}(A^{-T}E^T, A^{-T}c^T) = \text{span}\{A^{-T}c^T, \dots, (A^{-T}E^T)^{r-1} A^{-T}c^T\}$$

$$(10) \text{ with } E, A, b, c^T \text{ from}$$

system (1), (2), with  $A^{-T} = (A^{-1})^T$ .

By suitably changing the starting vectors  $A^{-1}E, A^{-1}b$  in input Krylov subspace and  $A^{-T}E^T, A^{-T}c^T$  in output Krylov

subspace, some of the moments as well as some of the Markov parameters can be matched. The matrices  $V$  and  $W$  will be,

$K_{r1}(A^{-1}E, (E^{-1}A)^{l_1} A^{-1}b)$  and  $K_{r2}(A^{-T}E^T, (E^{-T}A^T)^{l_2} A^{-T}c^T)$  respectively, both with rank  $r$  where  $l_1, l_2 \in \mathbb{Z}$  and  $0 \leq l_1, l_2 \leq r$  then the first  $2r - l_1 - l_2$  moments and the first  $l_1 + l_2 - 1$  Markov parameters of the system in (1), (2) and Krylov reduced order model will match [18]

While building up Krylov subspace, numerical problems may arise. To avoid this, classical Arnoldi [25] algorithm may be used, which finds a set of orthogonal vectors as a basis of a given Krylov subspace [22]

### Routh-Padé Approximation Method

In this section, the Routh-Padé approximation method has been described. Let the transfer function  $G_k(s)$  of a  $k^{\text{th}}$  order system is represented as:

$$G_k(s) = \frac{a_1 s^{k-1} + a_2 s^{k-2} + \dots + a_k}{s^k + d_1 s^{k-1} + \dots + d_k} \quad (11)$$

$$= t_1 + t_2 s + \dots + t_k s^{k-1} + \dots \quad (12)$$

$$= M_1 s^{-1} + M_2 s^{-2} + \dots + M_k s^{-k} + \dots \quad (13)$$

Equation (12) and (13) are Taylor series expansions of this transfer function around  $s \rightarrow 0$  and  $s \rightarrow \infty$ , respectively. The coefficients of series in (12) and (13) are called time moments and Markov parameters, respectively.

It is desired to obtain a ROM of order  $r$  ( $r < k$ ) as

$$G_{rk}(s) = \frac{a'_1 s^{r-1} + a'_2 s^{r-2} + \dots + a'_r}{s^r + d'_1 s^{r-1} + \dots + d'_r} \quad (14)$$

$$= t'_1 + t'_2 s + \dots + t'_r s^{r-1} + \dots \quad (15)$$

$$= M'_1 s^{-1} + M'_2 s^{-2} + \dots + M'_r s^{-r} + \dots \quad (16)$$

The RPA method is basically a nonlinear optimization procedure in which not only first  $r$  terms (time moments/Markov parameters) are fully retained but errors between the subsequent time moments /Markov parameters are also minimized preserving stability.

The formulation of the objective function has been explained, assuming  $r$  even. For this, it can be verified that, when the following equations hold true

$$a'_{r+1-i} = \sum_{j=1}^i t'_j d'_{r-i+j}, \quad a'_i = \sum_{j=1}^i M'_j d'_{i-j} \text{ for } i = 1, \dots, r/2 \quad (17)$$

$$\left. \begin{aligned} t'_i &= \left( - \sum_{j=1}^{i-1} t'_j d'_{r-i+j} + \sum_{j=1}^{r+1-i} M'_j d'_{r+1-i-j} \right) d'^{-1}_{r-1} \\ M'_i &= \sum_{j=1}^{r+1-i} t'_j d'_{i-1+j} - \sum_{j=1}^{i-1} M'_j d'_{i-j} \end{aligned} \right\} \quad (18)$$

$$\text{for } i = \frac{r}{2} + 1, \frac{r}{2} + 2, \dots$$

where,  $d'_0 = 1; d'_i = 0$  for  $i \notin \{0, \dots, r\}; t'_i, M'_i = 0$  for  $i < 1$ .

A stable model for which  $r$  equations given by

$$t'_i - t_i = 0, \quad M'_i - M_i = 0, \text{ for } i = 1, \dots, r/2 \quad (19)$$

are satisfied is sought, with (19), equation (17) becomes

$$a'_{r+1-i} = \sum_{j=1}^i t_j d'_{r-i+j}, \quad a'_i = \sum_{j=1}^i M_j d'_{i-j}, \quad \text{for } i=1, \dots, r/2 \quad (20)$$

There may be infinite number of stable models for which equation (20) is satisfied [8,23]. To exploit this arbitrariness, an objective function  $Z$  is constructed to minimize a weighted squared sum of the errors of matching time moments and Markov parameters of the system with those of the model, i.e., to minimize  $Z$

$$Z = \sum_{i=1}^{r/2} \alpha_i \left( 1 - \frac{t'_{r/2+i}}{t_{r/2+i}} \right)^2 + \sum_{i=1}^{r/2} \beta_i \left( 1 - \frac{M'_{r/2+i}}{M_{r/2+i}} \right)^2 \quad (21a)$$

where  $\alpha_i$ 's, and  $\beta_i$ 's are nonnegative numbers. It may be noted that (21a) can be adjusted if only time moments are considered as

$$Z = \sum_{i=1}^r \alpha_i \left( 1 - \frac{t'_{r+i}}{t_{r+i}} \right)^2 \quad (21b)$$

and if only Markov parameters are considered (21a) takes the following form:

$$Z = \sum_{i=1}^r \beta_i \left( 1 - \frac{M'_{r+i}}{M_{r+i}} \right)^2 \quad (21c)$$

Using (18) subject to (19), the objective function  $Z$  can be expressed as

$$Z = f(d'_1, \dots, d'_r) \quad (22)$$

The formulation for  $r$  being odd can be done in a similar manner. For stability preservation, following [30], the denominator coefficients of (14) can be expressed as

$$d'_1 = b'_1, \quad d'_2 = b'_2 + b'_3 + \dots + b'_r, \quad d'_3 = b'_1(b'_3 + b'_4 + \dots + b'_r), \dots, \quad d'_r = b'_{1+\eta} b'_{3+\eta} \dots b'_{r-2} b'_r, \quad (23)$$

where,  $\eta=1$  for even  $r$  and  $\eta=0$  for odd  $r$ , for a given  $r$ , (23) can be written by constructing a Routh array which has, in its first column, the entries [30]

$$1, b'_1, b'_2, b'_1 b'_3, b'_2 b'_4, b'_1 b'_3 b'_5, \dots, b'_{1+\eta} b'_{3+\eta} \dots b'_{r-2} b'_r \quad (24)$$

Comparing the entries of the first row of this array with  $1, d'_2, d'_4, \dots$  and those of the second row with  $d'_1, d'_3, \dots$  yields (23). For example, for  $r=4$ , (23) becomes

$$d'_1 = b'_1, \quad d'_2 = b'_2 + b'_3 + b'_4, \quad d'_3 = b'_1(b'_3 + b'_4), \quad d'_4 = b'_2 b'_4, \quad (25)$$

The necessary and sufficient condition for all the poles of (14) to be strictly in the left-half plane [30] is

$$b'_1 > 0, \dots, b'_r > 0 \quad (\Rightarrow d'_1 > 0, \dots, d'_r > 0) \quad (26)$$

The problem is to minimize (22) subject to (23) and (26).

### Application of Luus and Jaakola Algorithm

The algorithm of Luus Jaakola (LJ) is developed for optimization purpose [31]. It is called LJ optimization method. This algorithm is found to be suitable for solving above stated problem. Although, the algorithm searches local minima, in the examples considered in this work, it has converged to improved approximants.

### Design of Controller

Consider the control system [54] as shown in Fig.3.1. Given  $G_n(s)$  and  $H(s)$ , the problem is to derive the transfer function of the controller  $C(s)$  which yields the desired response of the closed loop system.

A classical approach to the design of the controller  $C(s)$  is to specify the desired (also called reference) closed loop transfer function  $T(s)$ , equate it to the closed loop transfer function, and solve for the controller [54]. Thus

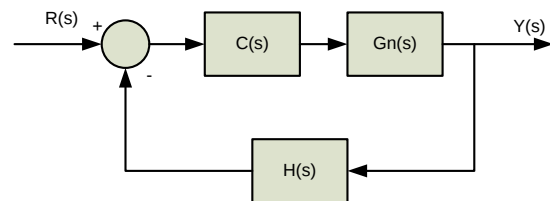


Fig. 3.1. Control Configuration

$$T(s) = \frac{G_n(s)C(s)}{1 + G_n(s)C(s)H(s)} \quad (27)$$

On simplification for controller, (27) yields

$$C(s) = \frac{T(s)}{G_n(s)[1 - T(s)H(s)]} = \frac{TN(s)G_n(s)D(s)HD(s)}{G_n(s)[TD(s)HD(s) - TN(s)HN(s)]} \quad (28)$$

Where  $TN(\cdot)$  and  $TD(\cdot)$  indicate numerator and denominator respectively.

Now consider the closed loop system shown in Fig. 3.2. By approximating  $G_n(s)$  by a reduced order model transfer function  $G_{rk}(s)$ , Fig.3.3 is obtained. In other words, the system of Fig.3.2 is approximated by that of Fig.3.3, where  $H(s)$  is assumed to be same in both these figures. Pertaining to these two systems, the following result was previously arrived at [55]

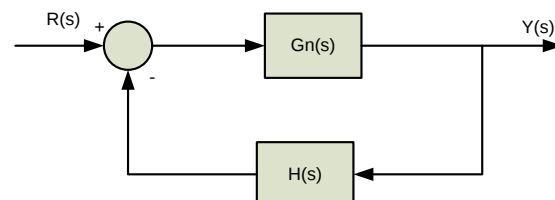


Fig. 3.2. A closed loop system

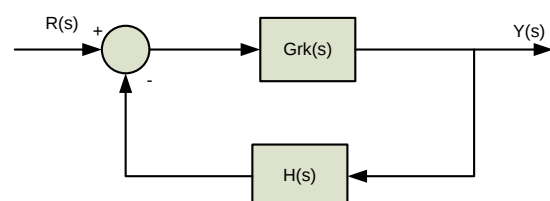


Fig. 3.3. A reduced order approximant of the system of Fig. 3.2

### Modified Krylov Subspace (Mk-S) Algorithm

The proposed MK-S algorithm has been described as follows.

Step 1. Set the order of the reduced order model  $r$  for the given  $n^{th}$  order system  $G_n(s)$ . Choose  $l_1$  and  $l_2$  such that  $l_1 + l_2 = l$  Markov parameters and  $2r - l_1 - l_2$  time moments of the HOS and ROM match.

Step 2. Find the reduced order ( $r^{th}$  order) model  $G_r(s)$  from (8).

Step 3. If  $G_r(s)$  is stable then terminate the process otherwise go to next step.

Step 4. Find the next stable higher Krylov reduced order model  $G_k(s)$ , from Equation (8), where  $k = (r+1) \text{ or } (r+2) \text{ or } \dots (n-1)$

Step 5. Obtain the  $r^{th}$  order model  $G_{rk}(s)$  from  $G_k(s)$  using (14).

Step 6. Then the controller is designed (As per section

### Numerical Examples

The step by step procedure to design of a controller has been explained in this section with the help of examples as presented below.

**Example 1:** Consider the following stable 4<sup>th</sup> order system having transfer function

$$G_4(s) = \frac{s^3 + 12s^2 + 54s + 72}{s^4 + 18s^3 + 97s^2 + 180s + 100} \quad (29)$$

Derive a reduced order ( $r=2$ ) model of (29). Using the method described in the above section. The second order approximant to (29) turns out to be

$$G_{23}(s) = \frac{s + 24.84}{s^2 + 37.235s + 34.5} \quad (30)$$

Choose a reference model which satisfies the control specifications. In this example, a standard second order transfer function is chosen with damping ratio  $\xi = 0.7$  and natural frequency  $\omega_n = 1.5 \text{ rad/s}$ . Thus

$$T(s) = \frac{2.25}{s^2 + 2.1s + 2.25} \quad (31)$$

Derive the reduced order controller from (28) as

$$C_2(s) = \frac{C_2 N(s)}{C_2 D(s)} = \frac{TN(s)G_2 D(s)HD(s)}{G_2 N(s)[TD(s)HD(s) - TN(s)HN(s)]} \quad (32)$$

Here,

$$TN(s) = 2.25$$

$$TD(s) = s^2 + 2.1s + 2.25$$

$$G_2 N(s) = s + 24.84$$

$$G_2 D(s) = s^2 + 37.235s + 34.5$$

and  $HN(s) = HD(s) = 1$ . Therefore,  $C_2(s)$  is obtained as

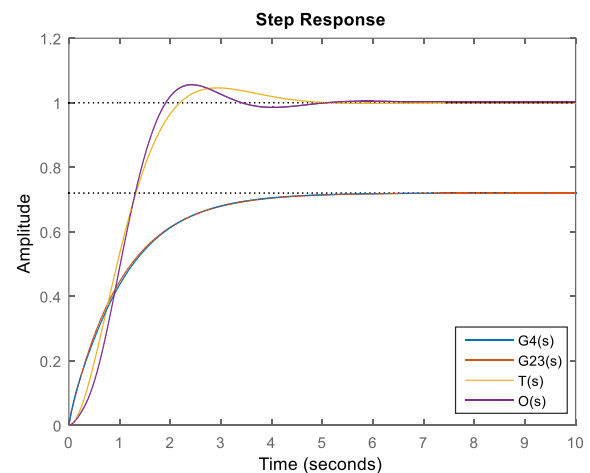
$$C_2(s) = \frac{2.25s^2 + 83.77875s + 77.625}{s^3 + 26.94s^2 + 54.414s} \quad (33)$$

The transfer function,  $O(s)$ , of the system (see Fig.3.1) with reduced order controller  $C_2(s)$  takes the form

$$O(s) = \frac{C_2(s)G(s)}{1 + C_2(s)G(s)H(s)}$$

$$O(s) = \frac{2.25s^5 + 110.77875s^4 + 387.6271875s^3 + 5617.5525s^2 + 10223.82s + 5589}{s^7 + 44.94s^6 + 638.584s^5 + 3937.05075s^4 + 11580.505188s^3 + 23309.1525s^2 + 25320.42s + 10953} \quad (34)$$

the step responses of (31) and (34) are shown in Fig. 5.1. It is seen that the response of the system with reduced-order controller is satisfactory. Fig. 5.1 also depicts the responses of (29) and (30). Clearly (30) is a good approximant (Krylov Routh Pade` approximant) to (29).



**Fig. 5.1:** Comparison of Step responses for original system  $G_4(s)$ , ROM  $G_{23}(s)$ ,  $T(s)$  and  $O(s)$

**Example 2:** Consider the following stable 6<sup>th</sup> order System having transfer function

$$G_6(s) = \frac{2s^5 + 70s^4 + 762s^3 + 3610s^2 + 7700s + 2400}{s^6 + 41s^5 + 571s^4 + 3491s^3 + 10060s^2 + 13100s + 6000} \quad (35)$$

Derive a reduced order ( $r=2$ ) model of (35). using the method described in the above section. The second order approximant to (35) turns out to be

$$G_{23}(s) = \frac{1.297s + 0.4997}{s^2 + 1.962s + 1.249} \quad (36)$$

Choose a reference model which satisfies the control specifications. In this example, a standard second order transfer function is chosen with damping ratio  $\xi = 0.7$  and natural frequency  $\omega_n = 1.5 \text{ rad/s}$ . Thus

$$T(s) = \frac{2.25}{s^2 + 2.1s + 2.25} \quad (37)$$

Derive the reduced order controller from (28) as

$$C_2(s) = \frac{C_2 N(s)}{C_2 D(s)} = \frac{TN(s)G_2 D(s)HD(s)}{G_2 N(s)[TD(s)HD(s) - TN(s)HN(s)]} \quad (38)$$

Here,

$$TN(s) = 2.25$$

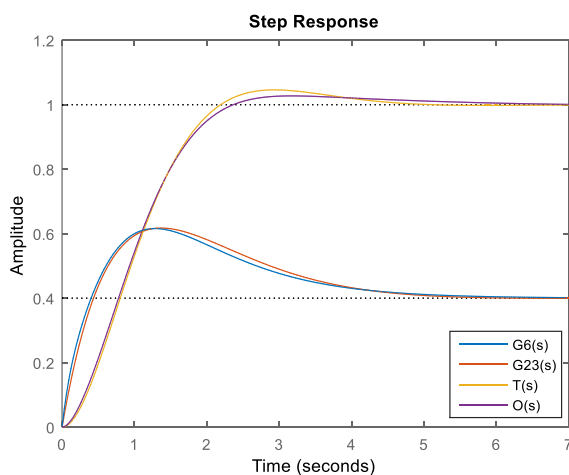
$$TD(s) = s^2 + 2.1s + 2.25$$

$$G_2N(s) = s + 24.84$$

$$G_2D(s) = s^2 + 37.235s + 34.5$$

and  $HN(s) = HD(s) = 1$ . Therefore,  $C_2(s)$  is obtained as

$$C_2(s) = \frac{2.25s^2 + 4.4145s + 2.81025}{1.297s^3 + 3.2234s^2 + 1.04937s} \quad (39)$$



**Fig. 5.2:** Comparison of Step responses for original system  $G_6(s)$ , ROM  $G_{23}(s)$ ,  $T(s)$  and  $O(s)$

The transfer function,  $O(s)$ , of the system (see Fig.3.1) with reduced order controller  $C_2(s)$  takes the form

$$O(s) = \frac{C_2(s)G(s)}{1 + C_2(s)G(s)H(s)}$$

$$O(s) = \frac{4.5s^7 + 166.329s^6 + 2029.1355s^5 + 11683.0665s^4 + 35402.7555s^3 + 49536.6525s^2 + 32233.725s + 6744.8}{1.297s^9 + 56.4004s^8 + 878.29577s^7 + 6577.74157s^6 + 26929.03517s^5 + 64764.52117s^4 + 95967.9577s^3 + 82623.7995s^2 + 38529.945s + 6744.8} \quad (40)$$

the step responses of (37) and (40) are shown in Fig. 5.2. It is seen that the response of the system with reduced-order controller is satisfactory. Fig. 5.2 also depicts the responses of (35) and (36). Clearly (36) is a good approximant (Krylov Routh Padé approximant) to (35).

### Conclusion

In this work, a low order controller is designed using combination of Krylov and Routh Padé approximation method and termed as modified Krylov subspace method. The classical Krylov subspace method has the shortcoming of producing unstable reduced order models for a stable higher order system. In the proposed method, the stability is preserved in the reduced order model by making use of Routh Padé approximation technique. Once the HOS is reduced the controller is developed for this ROM and then finally implemented to HOS. The results corroborate the feasible and effective use of the designed controller using proposed method.

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